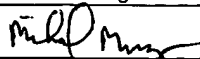


Please type a plus sign [+] inside this box →



<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional application under 37 C.F.R. § 1.53(b))		Attorney Docket No. <b>IN-5488Div</b>	
		First Named Inventor <b>Donald H. CAMPBELL, et al.</b>	
		Filing Date <b>April 1, 2004</b>	
		Title <b>CARBAMATE FUNCTIONAL ADDITION POLYMERS AND A METHOD FOR THEIR PREPARATION</b>	
		Express Mail Certificate <b>ER 462 653 122 US</b>	
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO: <b>Commissioner for Patents, P.O. BOX 1450, Alexandria, Virginia 22313-1450</b>	
1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form (e.g. PTO/SB/17)</b> (Submit an original and a duplicate for fee processing)		7. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b>	
2. <input type="checkbox"/> <b>Applicant claims small entity status.</b> See 37 CFR 1.27.		8. <b>Nucleotide and/or Amino Acid Sequence Submission</b> (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> <b>Specification</b> [Total Pages <b>24</b> ] -(preferred arrangement set forth below) - Descriptive title of the invention - Cross References to Related Application - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the invention - Brief Summary of the invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure		a. <input type="checkbox"/> <b>Computer Readable Form (CRF)</b> b. <b>Specification Sequence Listing on:</b> i. <input type="checkbox"/> <b>CD-ROM or CD-R (2 copies); or</b> ii. <input type="checkbox"/> <b>paper</b> <input type="checkbox"/> <b>Statements verifying identify of above copies</b>	
4. <input type="checkbox"/> <b>Drawing(s) (35 U.S.C.113)</b> [Total Sheets <input type="checkbox"/> ]		<b>ACCOMPANYING APPLICATION PARTS</b>	
5. <input checked="" type="checkbox"/> <b>Oath or Declaration</b> [Total Sheets <b>02</b> ] a. <input type="checkbox"/> <b>Newly executed (original or copy)</b> b. <input checked="" type="checkbox"/> <b>Copy from a prior application (37 CFR § 1.63(d))</b> (for continuation/divisional with Box 17 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting		9. <input type="checkbox"/> <b>Assignment Papers (cover sheet &amp; document(s))</b> 10. <input type="checkbox"/> <b>37 CFR§3.73(b) Statement</b> <input type="checkbox"/> <b>Power Of Attorney</b> (when there is an assignee) 11. <input type="checkbox"/> <b>English Translation Document (if applicable)</b> 12. <input checked="" type="checkbox"/> <b>Information Disclosure Statement (IDS)/ PTO-1449</b> <input type="checkbox"/> <b>Copies of IDS Citations</b> 13. <input type="checkbox"/> <b>Preliminary Amendment</b> 14. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b> (Should be specifically itemized) 15. <input type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> (if foreign priority is claimed) 16. <input type="checkbox"/> <b>other:</b> ..... ..... .....	
6. <input checked="" type="checkbox"/> <b>Application Data Sheet. See 37 CFR 1.76</b>			
17. If a <b>CONTINUING APPLICATION</b> , check appropriate box, and supply the requisite information below and in a preliminary amendment; <input type="checkbox"/> <b>Continuation</b> <input checked="" type="checkbox"/> <b>Divisional</b> <input type="checkbox"/> <b>Continuation-in-part (CIP) of prior application No <u>10/285,214</u>, filed on <u>October 31, 2002</u>.</b> Prior application information:                      Examiner <b>Bernard LIPMAN</b> Group/Art Unit: <b>1713</b> For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
<b>18. CORRESPONDENCE ADDRESS</b>			
<input checked="" type="checkbox"/> <b>Customer Number</b> <span style="border: 1px solid black; padding: 2px 20px;"><b>26922</b></span> or <input type="checkbox"/> <b>Correspondence address below</b>			
<b>Country</b>	<b>USA</b>	<b>Telephone</b>	<b>(248) 948-2355</b>
<b>Name (print Type)</b>	<b>Michael F. Morgan</b>	<b>Registration No. (Attorney Agent)</b>	<b>42,906</b>
<b>Signature</b>			<b>Date</b> <b>April 1, 2004</b>

 17548 U.S. PTO  
 10/815327

040104

**FEE TRANSMITTAL**

For FY 2004

Patent fees are subject to annual revision.

Application Number

Filing Date

April 1, 2004

First Named Inventor

Donald H. CAMPBELL, et al.

Examiner Name

Art Unit

Attorney Docket No.

IN-5488Div.

Express Mail Certificate

ER 462 653 122 US

Customer Number

26922

**TOTAL AMOUNT OF PAYMENT** \$770.00**METHOD OF PAYMENT** (check all that apply)☒ Deposit Account: ☐ Credit Card ☐ Check

Deposit Account Number 23-3425

Deposit Account Name BASF CORPORATION

**The Director is authorized to: (check all that apply)**

☒ Change fees indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or any underpayment of fee(s)  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity

Fee	Code	Fee Description	
1001	740	Utility filing fee	\$770.00
1002	340	Design filing fee	
1003	530	Plant filing fee	
1004	770	Reissue filing fee	
1005	160	Provisional filing fee	
SUBTOTAL (1)			\$770.00

**2. EXTRA CLAIM FEES**

Extra Claims Fee from below Fee Paid

Total Claims 06 -20\*\* =  x 18 = Independent Claims 02 - 3\*\* =  x 86 = Multiple Dependent 290 = 

\*\*Or number previously paid, if greater; For Reissues, see below

Large Entity

Fee Code	Fee (\$)	Fee Description
1202	18	Claims in excess of 20
1201	86	Independent claims in excess of 3
1203	290	Multiple dependent claims, if not paid
1204	86	**Reissue independent claims over original patent
1205	18	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITION FEES**

Large Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)		
1051	130	Surcharge -late filing fee or oath	
1052	50	Surcharge-late provisional fee or Cover sheet	
1053	130	Non-English specification	
1812	2,520	For filing a request for ex parte reexamination	
1804	920*	Requesting publication of SIR prior to Examiner action	
1850	1,840*	Requesting publication of SIR after Examiner action	
1251	110	Extension for reply within first month	
1252	420	Extension for reply within second month	
1253	950	Extension for reply within third month	
1254	1,480	Extension for reply within fourth month	
1255	2,010	Extension for reply within fifth month	
1401	330	Notice of Appeal	
1402	330	Filing a brief in support of an appeal	
1403	290	Request for oral hearing	
1451	1,510	Petition to institute public use proceeding	
1452	110	Petition to revive-unavoidable	
1453	1,330	Petition to revive-unintentional	
1501	1,330	Utility issue fee (or reissue)	
1502	480	Design issue fee	
1503	640	Plant issue fee	
1460	130	Petitions to the Commissioner	
1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	Submission information Disclosure Stmt	
8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	Request for Continued Examination (RCE)	
1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

Country	USA	Telephone	(248) 948-2355	Fax	(248) 948-2093
Name (print Type)	Michael F. Morgan	Registration No. (Attorney Agent)	42,906		
Signature	<i>Michael Morgan</i>	Date	April 1, 2004		

**PATENT**

(Practitioner's Docket No. IN-5488Div)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Donald H. CAMPBELL, Donald L. ST.  
AUBIN, and Walter H. OHRBOM

US Serial No.

Group Art Unit: Not Assigned

Filed: April 1, 2004

Examiner: Not Assigned

For: CARBAMATE FUNCTIONAL ADDITION POLYMERS AND A METHOD FOR  
THEIR PREPARATION

Commissioner of Patents  
Washington, D.C. 20231

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number **ER 462 653 122 US** Date of Deposit **April 1, 2004**


I hereby certify that the following attached paper or fee

☒ Patent Application Data Sheet

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) **be signed** and (2) **fully identify and be securely attached to the paper or fee it accompanies**. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.

**PATENT**

(Practitioner's Docket No. IN-5488Div)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Donald H. CAMPBELL, Donald L. ST.  
AUBIN, and Walter H. OHRBOM

US Serial No.

Filed: April 1, 2004

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: CARBAMATE FUNCTIONAL ADDITION POLYMERS AND A METHOD FOR  
THEIR PREPARATION

**Commissioner of Patents**  
**Washington, D.C. 20231**

**EXPRESS MAIL CERTIFICATE**

"Express Mail" label number **ER 462 653 122 US** Date of Deposit **April 1, 2004**

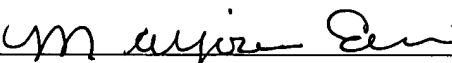
I hereby certify that the following attached paper or fee

<b>X</b>	<b>Application English</b>		
x	Specification on	20	page(s)
x	Claims on	03	page(s)
x	Abstract on	01	page(s)
	Drawing(s) on		page(s)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g.

complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing of the drawings be accompanied by a set of informal drawings on which the label number is placed.